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### Mechanical properties and electronic structures of compressed $C_{60}$ , $C_{60}F_{60}$ and $C_{60}H_{60}$ molecules

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## Mechanical properties and electronic structures of compressed $C_{60}$ , $C_{60}F_{60}$ and $C_{60}H_{60}$ molecules

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By using the quantum molecular dynamics technique, the compression of  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$  molecules was simulated, and their electronic structures under compression were calculated. According to the obtained results, the compressive mechanical properties of the three molecules, as well as the effects of compressive deformation on their electronic structures, were discussed. It is shown that (1) the load support and energy-absorbing capabilities of the three molecules both have the order of  $C_{60}F_{60} > C_{60}H_{60} > C_{60}$ , but their deformation support capability is comparable, and (2) with the increase of compressive strain, the three molecules become more chemically active, but under the same compressive strain, the  $C_{60}F_{60}$  and  $C_{60}H_{60}$  molecules have better chemical stability than the  $C_{60}$  molecule.

**Keywords:**  $C_{60}$ ;  $C_{60}F_{60}$ ;  $C_{60}H_{60}$ ; compressive properties; electronic structures

### 1. Introduction

In recent years, experimental scientists have obtained a number of cage-like carbon molecules. The molecules are called carbon fullerenes and include  $C_{24}$ ,  $C_{36}$ ,  $C_{60}$ ,  $C_{70}$ ,  $C_{80}$ ,  $C_{240}$  and so on [1,2]. The analysis of time-of-flight mass spectra indicates that among the carbon molecules  $C_{60}$  is dominant and most chemically stable [3].  $C_{60}$  fullerene has spherical molecular configuration and 60 atoms distributed on its surface. Because of its stability and spherical symmetry,  $C_{60}$  has been considered as a potential molecular lubricant for microelectromechanical systems (MEMS) and nanoelectromechanical systems (NEMS) [4–6].

Since the discovery and large-scale preparation of  $C_{60}$ , the chemistry of fullerenes has become one of the most developing fields in organic chemistry [7]. Recently, the fully fluorinated and hydrogenated  $C_{60}$  molecules, i.e.  $C_{60}F_{60}$  and  $C_{60}H_{60}$ , have been reported [8]. The molecules are predicted to be attainable through adding H or F atoms to the outside of  $C_{60}$  fullerene [9], which would cause  $C_{60}F_{60}$  and  $C_{60}H_{60}$  to take on a fuzzy appearance (Figure 1(b)), hence the name fuzzy ball [10]. The theoretical results of [6] show that the fuzzy balls of  $C_{60}H_{60}$  or  $C_{60}F_{60}$  have wider lowest unoccupied molecular orbital (LUMO)–highest occupied molecular orbital (HOMO) energy gap, i.e. better chemical stability, and are likely to create a slicker molecular lubricant than  $C_{60}$ .

Considering that under the action of friction pairs in MEMS and NEMS, compressive deformation will occur in the molecular ‘bearing balls’ of  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$ , and the deformed molecules always tend to become more chemically active [11]. In the present study, the radial

compression of  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$  molecules was simulated, and their electronic structures during the compression were calculated using the semi-empirical quantum molecular dynamics (QMD) method [2]. The mechanical properties and chemical stability of the three molecules under compression are discussed.

This paper reveals the mechanical properties and electronic structure changes of the  $C_{60}$ ,  $C_{60}H_{60}$  and  $C_{60}F_{60}$  molecules under compression, and some correlative results are very helpful for researchers to recognise the properties of the molecular bearing balls.

### 2. Model and method

In this paper, the molecular bearing balls of  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$  are chosen as objects to be investigated. These spherical molecules, geometrically optimised by the parametric method 3 (PM3) [12] and the semi-empirical quantum mechanics (QM) method, are shown in Figure 1, respectively. Their molecular weight  $W_0$ , diameter  $h_0$ , volume  $V_0$  and energy  $E_0$ , as well as their C–C, C–H and C–F bond length, are listed in Table 1.

The QMD technique [2] is used to simulate radial compressions of the spherical molecules. In the QMD simulations, the compressive deformation of the three molecules are implemented by changing the distance  $h$  between two atoms farthest away from each other in the spherical molecules. The steps of the simulations can be described as follows: firstly, changing the distance  $h$  between two atoms farthest away from each other in the spherical molecules from the initial distance  $h_0$ , namely the initial diameter of the molecules; secondly, ‘fixing’ the

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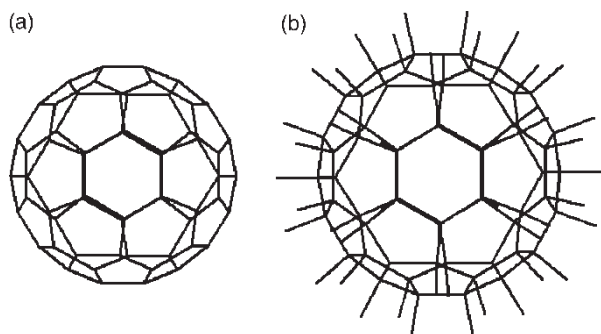


Figure 1. The molecular bearing balls of (a)  $C_{60}$ , (b)  $C_{60}F_{60}$  or  $C_{60}H_{60}$ .

two atoms and then ‘relaxing’ the other atoms by the QMD method, and in the end of the relaxation obtaining the molecular energy  $E$  corresponding to the given compressive strain  $l = |h - h_0|/h_0$ ; thirdly, repeating the above two steps to obtain a series of  $E$  data corresponding to a different strain  $l$ ; finally, analysing the  $E-l$  data to obtain the molecular energy  $E$  and loading  $F$  during the compressions.

In the QMD technique, the positions and velocities of the C, H and F atoms are predicted with Newton’s equation:

$$\ddot{r}_i = F_i(r_i)/m_i, \quad (1)$$

$$F_i = -\frac{\partial E}{\partial r_i}, \quad (2)$$

where  $\ddot{r}_i$ ,  $F_i$  and  $m_i$  are the acceleration, the resultant force and the mass of the  $i$ th C, H or F atom, respectively, and  $r_i$  is the dimensional coordinates of the  $i$ th atom, and the molecular energy  $E$  is determined by solving the following Schrödinger equation:

$$\mathbf{H}\Psi = E\Psi, \quad (3)$$

where  $\mathbf{H}$  is the Hamilton operator and  $\Psi$  is the wave function of the investigated molecular system.

In fact, for large molecular systems, it is very difficult to accurately solve the above Schrödinger equation. However,

in accordance to the Born–Oppenheimer assumption that electronic motions can be decoupled from those of nuclei, and the Hartree–Fock assumption, the polyelectronic problem in Equation (3) is translated into a single electronic problem. The simplified single electronic problem can be expressed by the following Hartree–Fock equation:

$$\mathbf{H}_i\Psi_i = \varepsilon_i\Psi_i, \quad (4)$$

where  $\mathbf{H}_i$  is the effective Hamilton operator,  $\Psi_i$  is the  $i$ th molecular orbital (MO) and  $\varepsilon_i$  is the energy corresponding to the MO  $\Psi_i$ .

According to the assumption of linear combination of atomic orbitals,  $\Psi_i$  can be expressed as

$$\Psi_i = \sum_{\mu} C_{\mu i} \Phi_{\mu}, \quad (5)$$

where  $\Phi_{\mu}$  is the  $\mu$ th atomic orbital (AO) and  $C_{\mu i}$  is the coefficient of the AO  $\Phi_{\mu}$ .

Adopting the close-shell model and the restricted Hartree–Fock method [13], Formula (4) can be translated into the following matrix form [14]:

$$\mathbf{FC} = \mathbf{SCE}, \quad (6)$$

where  $\mathbf{F}$  is the Fock matrix,  $\mathbf{S}$  is the overlapping matrix,  $\mathbf{C}$  is the coefficient matrix and  $\mathbf{E}$  is the diagonal matrix of energy.

The total electronic energy of the molecular system is obtained by solving Equation (6), which adopts the self-consistent field (SCF) approach, and the total energy  $E$  of the system is further obtained with the electronic energy plus the interaction between nuclei.

In the present QMD simulations, the PM3 [12] semi-empirical QM method is employed to improve the efficiency. All the mechanisms, which include modelling  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$ , compressing the molecules and relaxing the C, H or F atoms by the QMD method, are carried out by the famous quantum chemical software HyperChem 7<sup>®</sup>. The time step of 0.0005 ps for the MD simulation and the convergence limit of 0.042 KJ/mol for the SCF QM simulation are taken into consideration.

### 3. Results and discussion

#### 3.1 Compressive properties of $C_{60}$ , $C_{60}F_{60}$ and $C_{60}H_{60}$

Figures 2–4 present the configuration evolvement of the compressed  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$ . In Figures 2–4, the arrowheads are used to mark the locations of the ‘fixed’ atoms. Figure 5 presents the relationship between the molecular energy increment  $\Delta E = E - E_0$  and the strain  $l$  for the three molecules.

Because the molecular energy increment  $\Delta E$  mainly comes from the mechanical work of the external loading

Table 1. The geometrical, physical and mechanical parameters for  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$  molecules.

Molecules	$C_{60}$	$C_{60}F_{60}$	$C_{60}H_{60}$
$W_0$	720	1860	780
$h_0$ (Å)	7.14	10.59	9.78
$V_0$ (Å <sup>3</sup> )	190.49	621.54	489.55
$E_0$ (KJ/mol)	−2854062	−13495260	−3233146
Bond length (Å)			
C–C	1.39	1.57	1.51
C–H or C–F	–	1.38	1.12
$F_{\max}$ (nN)	1.42	3.03	1.71
$l_i$ (%)	20.4	21.0	20.8

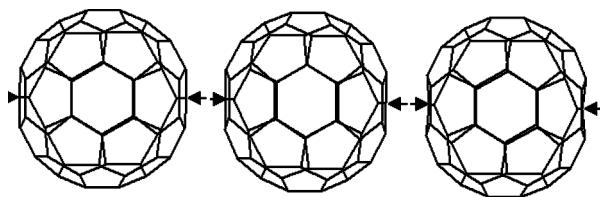


Figure 2. The compressed  $C_{60}$ . (a)  $l = 12\%$ , (b)  $l = 18\%$  and (c)  $l = 24\%$ .

$F$ , the relationship between the compressive loading  $F$  and the strain  $l$  for the molecules can be deduced from Figure 5 by differentiation with respect to strain. The obtained  $F-l$  curves of  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$  are presented in Figure 6.

From Figures 2–6, the following findings are obtained:

- (1) When the strain  $l$  increases to certain values, the compressed spherical molecules will 'cave in' at the locations of the two 'fixed' and 'loaded' atoms (Figures 2(c), 3(c) and 4(c)).
- (2) The molecular energy  $E$  for all the compressed fullerenes increases monotonously with the strain  $l$ . Under the same strain  $l$ , the  $\Delta E$  of the three molecules has the order of  $C_{60}F_{60} > C_{60}H_{60} > C_{60}$ , which implies that  $C_{60}F_{60}$  has the best energy-absorbing capability and  $C_{60}$  the worst one.
- (3) The compressive loading  $F$  increases gradually with the strain  $l$  up to the values  $l_i$ , and after that the spherical molecules cave in, and their compressive loading  $F$  decreases with the increase of the strain  $l$ , where the maximal loading  $F_{\max}$  are called as 'endurance limit' and the corresponding strain  $l_i$  are defined as 'failure strain' (Figure 6). The  $F_{\max}$  and  $l_i$  values are listed in Table 1.
- (4) The  $F_{\max}$  and  $l_i$  can be used to characterise the load and deformation support capabilities of material, respectively; generally, the higher the  $F_{\max}$  and  $l_i$  values are, the better its load and deformation support capabilities are [15]. Apparently, the present  $C_{60}F_{60}$  has the best load support capability and  $C_{60}$  the worst one, but their deformation support capability seems to be comparable.

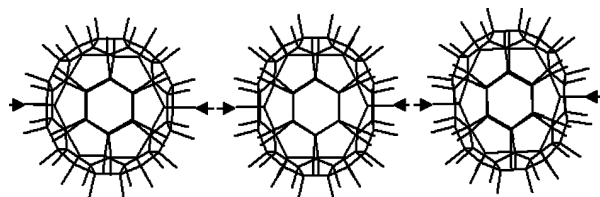


Figure 3. The compressed  $C_{60}F_{60}$ . (a)  $l = 12\%$ , (b)  $l = 18\%$  and (c)  $l = 24\%$ .

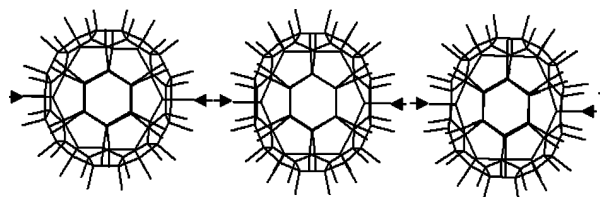


Figure 4. The compressed  $C_{60}H_{60}$ . (a)  $l = 12\%$ , (b)  $l = 18\%$  and (c)  $l = 24\%$ .

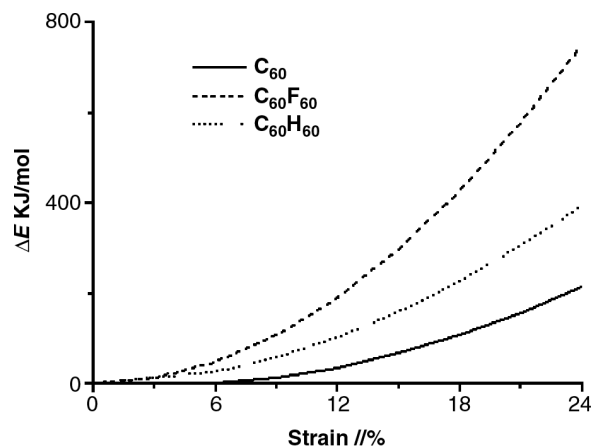


Figure 5. The correlation of molecular energy increment  $\Delta E$  to strain  $l$  for the compressed  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$ .

In [16], Brenner's reactive empirical bond-order potential [17,18]-based molecular dynamics (MD) method is adopted to simulate the compression of  $C_{60}$ . It is found that the compressed  $C_{60}$  begins to cave in at  $l \approx 25\%$ , corresponding to the external load  $F \approx 0.98\text{ nN}$ . The present  $F_{\max}$  and  $l_i$  values of  $C_{60}$ , i.e.  $1.42\text{ nN}$  and  $20.4\%$ ,

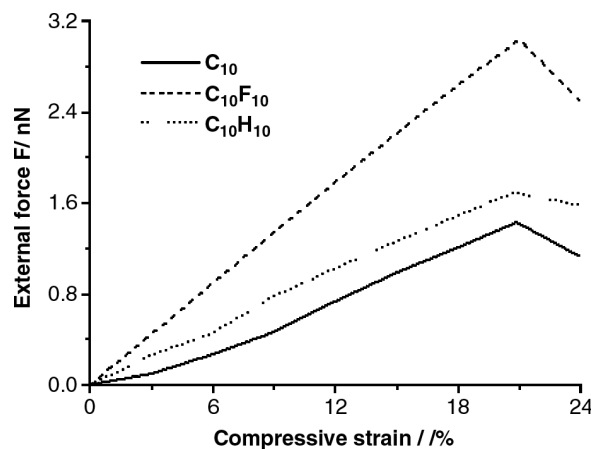


Figure 6. The correlation of compressive loading  $F$  to strain  $l$  for the compressed  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$ .

are close to the ones in [16], respectively, which implies the validity of the present calculations.

In [19], we also adopted the Tersoff potential-based MD method to simulate the compression of  $C_{60}$  under two parallel graphite layers. It is found that the compressed  $C_{60}$  has  $F_{ma} \approx 21\text{ nN}$  and  $l_i \approx 27\%$ . The  $F_{ma}$  and  $l_i$  values are much larger than the results in the present paper, respectively. What causes the differences? It can be explained by the difference in the loading styles. Differing from the present point loading, in [19], two rigid graphite layers were used to compress  $C_{60}$ , which implies that graphite layers and  $C_{60}$  have a larger loaded area, hence  $C_{60}$  under rigid graphite layers has a larger endurance limit and failure strain.

### 3.2 Electronic structures of $C_{60}$ , $C_{60}F_{60}$ and $C_{60}H_{60}$ under compression

According to the FMO theory [20,21], it is considered that the FMOs, i.e. the molecular orbitals (MOs) near the LUMO and HOMO, determine the chemical properties of one molecule. The LUMO and HOMO energy can reflect molecular electrophilicity and nucleophilicity, respectively. The LUMO energy is close to the molecular electrophilic potential in value, and the higher the HOMO energy is, the easier the molecule loses its electron. The energy gap between the LUMO and HOMO can reflect the capability of electron transferring from the occupied MO to the unoccupied one. Therefore, in the present paper, the FMO energy and the LUMO–HOMO energy gap for the compressed molecules are mainly discussed.

By the QMD calculations, Figures 7–9 present the changes in the FMO energy levels for the three molecules during compression. In Figures 7–9, for clarity, the short horizontal lines are used to mark the unoccupied MOs and the long ones the occupied MOs. Figure 10 presents the

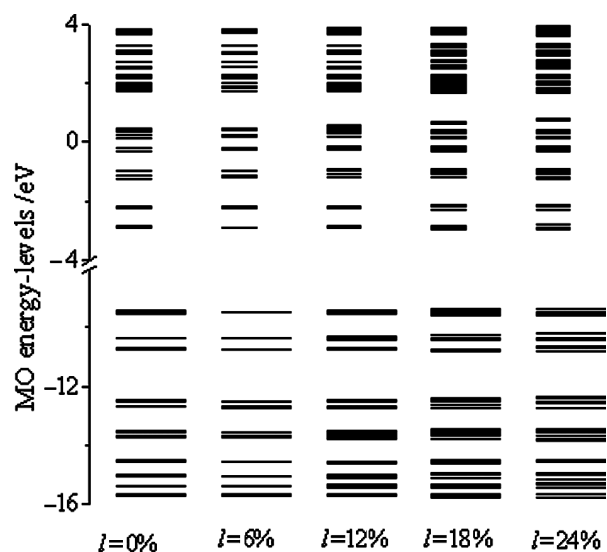


Figure 7. The FMO energy levels of  $C_{60}$  under compression.

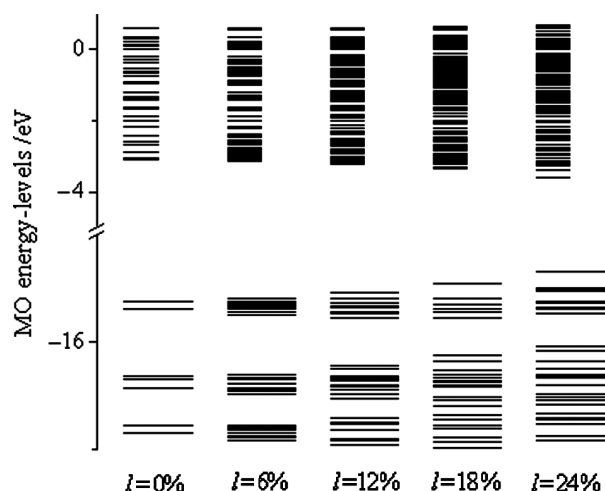


Figure 8. The FMO energy levels of  $C_{60}F_{60}$  under compression.

changes in the HOMO and LUMO energy as well as the LUMO–HOMO energy gap for the compressed  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$  molecules.

From Figures 7–10, the following findings are obtained:

- (1) The LUMO, HOMO energy and the LUMO–HOMO energy gap for the undeformed  $C_{60}$  molecule are  $-3.09$ ,  $-9.41$  and  $6.33$  eV, those of the undeformed  $C_{60}F_{60}$  molecule are  $-3.08$ ,  $-15.61$  and  $12.52$  eV and those of the  $C_{60}H_{60}$  molecule are  $3.10$ ,  $-10.03$  and  $13.13$  eV, respectively. Both the  $C_{60}F_{60}$  and  $C_{60}H_{60}$  molecules have lower HOMO energy, higher LUMO energy and wider LUMO–HOMO energy gap than  $C_{60}$ , which denote that the  $C_{60}$  molecule easily loses electron and has worse chemical stability than two other fuzzy balls.

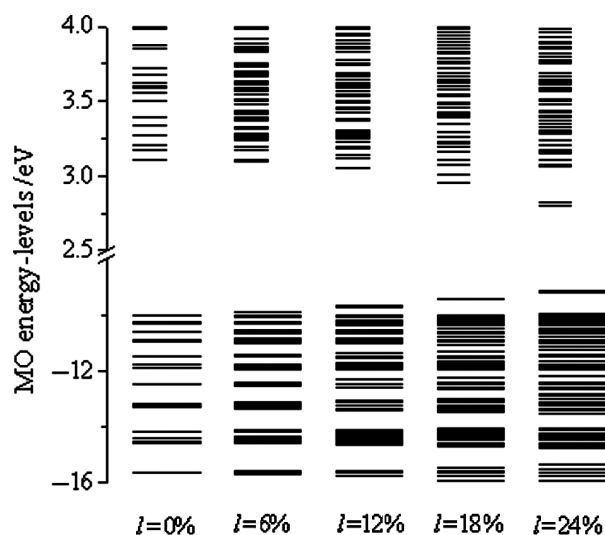


Figure 9. The FMO energy levels of  $C_{60}H_{60}$  under compression.



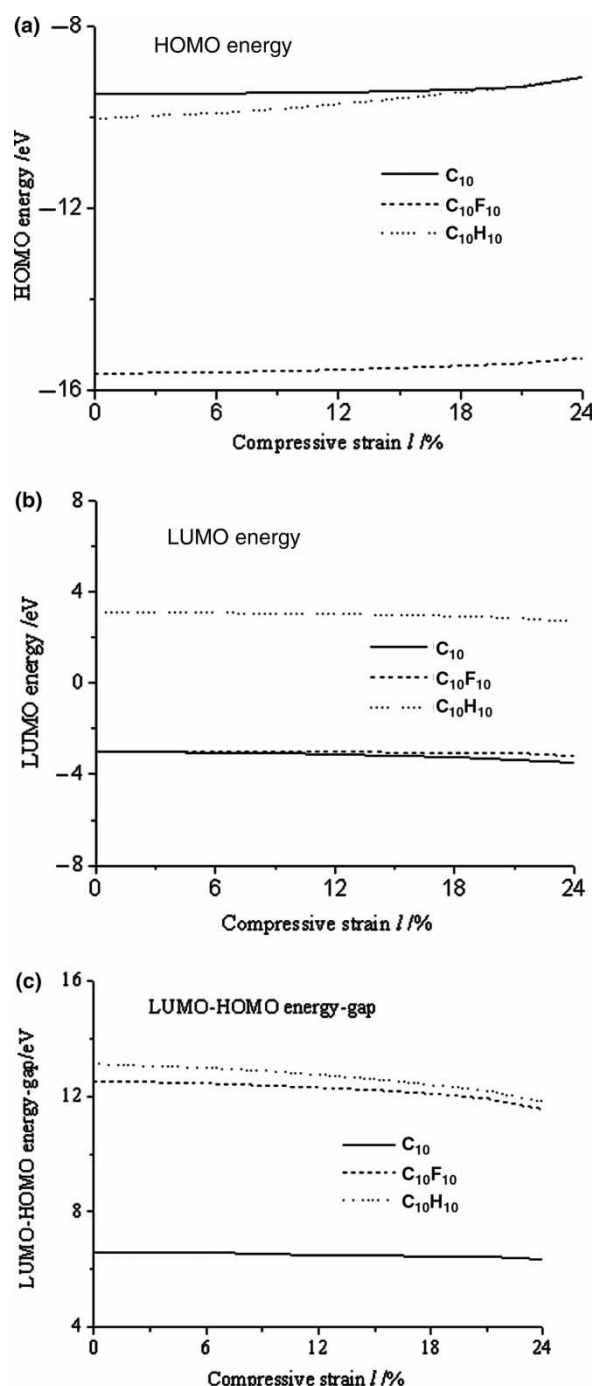


Figure 10. The changes of (a) HOMO, (b) LUMO energy and (c) LUMO–HOMO energy gap.

- (2) With the increase of compressive strain, the LUMO energy and LUMO–HOMO energy gap of the three molecules decrease, but their HOMO energy increases, which implies that the compressed  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$  molecules have lower excitation energy of HOMO electron(s) and become more chemically active.

- (3) Under the same compressive strain, the  $C_{60}F_{60}$  and  $C_{60}H_{60}$  molecules have lower HOMO energy, as well as higher LUMO energy and wider LUMO–HOMO energy gap, which implies that the compressed  $C_{60}F_{60}$  and  $C_{60}H_{60}$  have better chemical stability than the compressed  $C_{60}$  all the time.
- (4) Due to the configuration change, the MO energy levels of the compressed molecular bearing balls change, and especially after the compressed molecules cave in at the loading positions, i.e. after  $l \approx 21\%$ , their LUMO–HOMO energy gaps decrease markedly. This denotes that after the ‘caving in’ the compressed molecular bearing balls will markedly become chemically active.

#### 4. Conclusions

The QMD simulations of the  $C_{60}$ ,  $C_{60}F_{60}$  and  $C_{60}H_{60}$  molecules under compression are run to investigate their mechanical properties and electronic structures. Based on this, the following conclusions were drawn:

- (1) The  $C_{60}F_{60}$  and  $C_{60}H_{60}$  molecules have better load support and energy-absorbing capabilities than the  $C_{60}$  molecule, but their deformation support capability is comparable.
- (2) The compressed molecules will become more chemically active, but under the same compressive strain, the  $C_{60}F_{60}$  and  $C_{60}H_{60}$  molecules have better chemical stability than the  $C_{60}$  molecule.

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